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(54) Friction material with friction modifying layer having symmetrical geometric shapes

(57) A friction material has a first layer of a base material and a second layer of at least one type of friction modifying particle having substantially symmetrical geometric shapes on a top surface of the base material. The second layer has an average thickness of about 30

to about 200 microns and the surface area coverage of about 80 to about 100% such that the top layer has a fluid permeability lower than the first layer.

### Description

# TECHNICAL FIELD

The friction material also has improved strength, wear tion characteristics and extremely high heat resistance. rial of the present invention has high coefficient of frichave symmetrical geometric shapes. The friction matetion modifying particles which friction modifying particles second or top layer comprising at least one type of fricterial saturated at least one type of curable resin and a terial having a first or lower layer comprising a base ma-[1000] The present invention relates to a fiction ma-

### BACKGROUND ART

resistance, and noise resistance.

the increasing energy requirements of these advanced materials technology must be also developed to meet volve high energy requirements. Therefore, the friction the automotive Industry. These new systems often iners and shifting clutch systems are being developed by mission systems, having continuous slip torque convert-[0002] New and advanced continuous torque trans-

the friction material be useful under limited lubrication pressures up to about 1500 psi. It is also important that material must be able to withstand high facing lining speeds are up to about 65m/seconds. Also, the friction must be able to withstand high speeds wherein surface friction material is needed. The new friction material [0003] In particular, a new high performance, durable

to rapidly dissipate the high heat that is being generated main stable at high temperatures, it must also be able vanced systems. Not only must the friction material rehigh heat resistance in order to be useful in the ad-[0004] The incilon material must be durable and have

frictional engagement the friction material is noise or terial have a desired torque curve shape so that during gear to another. It is also important that the friction mathe transmission system during power shift from one to minimize "shuddering" of materials during braking or over a wide range of speeds and temperatures in order tant that the frictional engagement be relatively constant constant friction throughout the engagement. It is impora friction material must be able to maintain a relatively ment and disengagement to the new systems mean that [0002] The high speeds generated during engageduring operating conditions.

conditions, the slip clutch can be differentiated into three a torque converter clutches. According to the operating launching devices, such as wet start clutches, to that of slip clutch within these systems varles from vehicle the fuel efficiency and driving comfort. The role of the mand systems incorporate slipping clutches mainly for [0006] In particular, transmission and torque-on-de-"squawk" free.

(3) Extreme Low Pressure and Low Silp Speed Cluich, Low Slip Speed Clutch, such as Converter Clutch; and Clutch, such as wet start clutch; (2) High Pressure and principle classes: (1) Low Pressure and High Slip Speed

is primarily concerned with controlling interface tempertamination. The friction interface energy management assembly and hardware allgnment, and driveline conand interactions, clutch operating conditions, driveline oll film retention and surface oil flow, lubricant chemistry material, the mating surface's hardness and roughness, factors including the friction characteristics of the friction The occurrence of shudder can be attributed to many and the energy management of the friction interface. plications of the slip clutch are the prevention of shudder [0003] The principal performance concerns for all apsuch as neutral to Idle clutch.

management. and control strategy. The Inction material surface design ature and is affected by the pump capacity, oil flow path

sculbes a multi layer friction lining having a porous sub-[0009] The Kearsey U.S. Patent No. 5,585,166 despeed systems currently being developed. of triction performance now needed for use in the high essary heat resistance and satisfactory high coefficient sipate the high heat generated, and do not have the necins. These friction materials, however, do not rapidly disfiber materials with phenolic or phenolic-modified resthe friction material by modifying impregnating paper or attempted to overcome the absence of the asbestos in longer being used. More recent friction materials have health and environmental problems, asbestos is no the friction material for temperature stability. Due to [8000] Previously, asbestos fibers were included in also contributes to the efficiency of interlace energy

[Stoo] In particular, Lam et al., U.S. Patent No. euce. These references are fully incorporated herein by referherein, BorgWarner Inc., for use in friction materlals. been developed that are co-owned by the assignee [1100] Various paper based fibrous materials have per, and finally, both coating compositions are cured.

coating composition is applied to the partially cured pa-

composition in the paper is partially cured, a second

carbon particles are placed on the paper, the coating

i.e., a paper impregnated with a coating composition,

involves a multi-step imprognating and curing process;

[0010] The Seiz U.S. Patent No. 5,083,650 reference

synthetic fibers in a thermoset resin) where the friction

thermoset resin) and a porous friction layer (nonwoven

strate layer (cellulose and synthetic fibers, filler and

layer has a higher porosity than the substrate layer.

[6013] The Lam et al., U.S. Patent No. 5,858,883 rethe surface of the primary layer. bon particles covering at least about 3 to about 90% of

librous material and a secondary layer comprises car-

where the porous primary layer comprises at least one

fibrous base material impregnated with a cutable resin

5,998,307 relates to a friction material having a primary

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lates to a base material having a primary layer of less fibrillated aramid fibers, synthetic graphite, and at least one type of filler, and a secondary layer comprising carbon particles on the surface of the primary layer.

[0014] The Lam et al., U.S. Patent No. 5,856,224 relates to a friction material comprising a base impregnated with a curable resin. The primary layer comprises less fibrillated aramid fibers, synthetic graphite and filler; the secondary layer comprises carbon particles and a retention aid.

[0015] The Lam et al. U.S. Patent No. 5,958,507 relates to a process for producing a friction material where about 3 to about 90% of at least one surface of the fibrous material which comprises less fibrillated aramid fibers is coated with carbon particles.

[0016] The Lam, U.S. Patent No. 6,001,750 relates to a friction material comprising a fibrous base material impregnated with a curable resin. The porous primarily layer comprises less fibrillated aramid fibers, carbon particles, carbon fibers, filler material, phenolic novoloid fibers, and optionally, cotton fibers. The secondary layer comprises carbon particles which cover the surface at about 3 to about 90% of the surface.

[0017] Yet another commonly owned patent application, Serial No. 09/707,274 relates to a paper type friction material having a porous primary fibrous base layer with friction modifying particles covering about 3 to about 90% of the surface area of the primary layer.

[0018] In addition, various paper type fibrous base materials are described in commonly owned BorgWarner Inc. Larn et al., U.S. Patent Nos. 5,753,356 and 5,707,905 which describe base materials comprising less fibrillated aramid fibers, synthetic graphite and filler, which references are also fully incorporated herein by reference.

[0019] Another commonly owned patent, the Lam, U. S. Patent No. 6,130,176, relates to non-metallic paper type fibrous base materials comprising less fibrillated aramid fibers, carbon fibers, carbon particles and fitter.

[0020] For all types of friction materials, in order to be useful in "wet" applications, the friction material must have a wide variety of acceptable characteristics. The friction material must have good anti-shudder characteristics; have high heat resistance and be able to dissipate heat quickly; and, have long lasting, stable and consistent frictional performance. If any of these characteristics are not met, optimum performance of the friction material is not achieved.

[0021] It is also Important that a suitable impregnating resin be used in the friction material in order to form a high-energy application friction material. The friction material must have good shear strength during use when the friction material is infused with brake fluid or transmission oil during use.

[0022] Accordingly, it is an object of the present invention to provide an improved friction material with reliable and improved properties compared to those of the prior art.

[0023] A further object of this invention is to provide friction materials with improved "anti-shudder", "hot spot" resistance, high heat resistance, high friction stability and durability, and strength.

### IN THE DRAWINGS

### [0024]

Fig. 1 is a schematic diagram of a friction material having a top layer of regular geometrical friction modifying materials.

Fig.2 is an SEM image showing the round disks of the regular shaped celite friction modifying particles on a base material.

Fig. 3 is a graph that shows the  $\mu\text{-v}$  slope versus slipping time for Compar. A and Compar. C.

Fig. 4a is a graph that shows the low oil flows data, for Compar. A; Fig. 4b is a graph that shows the low oil flow data for the Ex. 1 as having very good antishudder characteristics.

Figs. 5a and 5b are optical views of a sliced sample of the Ex. 1 material at 80 microns per layer; Fig 5a shows the front view, and Fig. 5b shows the back view.

Figs. 6a and 6b are optical views of a sliced sample of the Ex. 1 material at 100 mlcrons per layer; Fig 6a shows the front view, and Fig. 6b shows the back view.

### SUMMARY OF THE INVENTION

[0025] The present Invention relates to a friction material having a first layer comprising a base material and at least one type of resin material, and a second layer comprising at least one type of friction modifying particle on a top surface of the base material. The friction modifying particles have at least one type of substantially symmetrical geometric shape. In certain embodiments, the second layer has an average thickness of about 30 to about 200 microns, such that the top layer has a fluid permeability lower than the first layer. In certain preferred embodiments, the friction modifying particles have a generally flat or disc shape.

### DETAILED DESCRIPTION OF INVENTION

[0026] In order to achieve the requirements discussed above, many friction materials were evaluated for friction and heat resistant characteristics under conditions similar to those encountered during operation. Commercially available friction materials were investigated and proved not to be suitable for use in high energy applications.

[0027] According to the present invention, a friction material has a uniform dispersion of the curable resin throughout a base material and a substantially uniform layer of friction modifying materials on a top or outer sur-

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modifying particles comprise silica, celite particles, and in certain other, in certain embodiments, diatomaceous earth. In one particular espect of the present invention, the friction modifying particles comprise celite having a regular shape. The triction modifying materials used in the friction of the present invention can have a preferred geometry, such as a symmetrical geometric shape. The triction modifying materials used in the friction of the present invention can have a preferred geometry, such as a symmetrical geometric shape. The triction modifying particles act to hold a quantity of lubricant at the triction authors and to create channels of oil flow across the friction surface due to the micro hard solid regular mountain-surface due to the micro due to the surface to the surface to the surface of surface due to the surface to the surface to the surface to the surface of the surface

oil retention and oil flow on the friction surface. [0032] In one aspect of the prosent invention, the base material average voids volume from about 60% to about 85%. In certain embodiments, the base material has an average porevoid/interatice diameter of about 5 has an average porevoid/interatice diameter of about 5

tention and oil flow to the friction materials.

[0031] In one aspect of the present invention, the friction modifying materials of the regular geometry comprise a round, flat disks of celite. When applied as a top layer to a base material, the friction modifying particles around, a regular geometry such as round, tlat disks, provide a unique surface stacking pattern which improves vide a unique surface stacking pattern which improves

materials that have irregular shapes of particles.

[0030] In another aspect, the present invention relates to a friction material comprising a base material saturated with at least one type of curable resin and at regular geometry. The regular geometry of the friction modifying particles provides improvement surface oil remodifying particles provides improvements.

materials that have irregular shapes of particles. that has much less abrasive wear than the other friction friction modifying particles provides a friction material cations. In addition, the symmetric round shape of the bresent invention very durable in slipping clutch applithe unique surface structure, makes the material of the lubrication is then achieved. This unique feature, i.e., tive surface cooling mechanism and constant surface channels of oil flow across the friction surface. An effeccause the oil to remain on the friction surface and create solid regular mountain-valley type surface topography permeability of the top layer together with the micro hard mal direction than the base later. The lower normal fluid vention, the top layer has a lower permeability in the norcles. Thus, according to one aspect of the present inporous than the top layer of the iriction modifying parti-[0029] In one aspect, the base material layer is more tention and unique oil flow across the friction surface. many advantageous properties, including good oil rethe base material provides the friction material with ence of the friction modifying materials as a top layer on on a first, or top, surface of the base material. The presmetrical shaped inction modifying particles is deposited [0028] The top, or second, layer of the regular geoface of the base material.

graphite, alumina, cashew dust and the like. [0039] In other embodiments, the base materials, and comprise woven materials, non-woven materials, and paper materials. Further, examples of the various types of base materials useful in the present invention are disclosed in the above-referenced BorgWamer U.S. paterize which are fully incorporated herein by reference. It should be understood however, that other embodiments should be understood however, that other embodiments of the present invention can include yet different base

carbides and the like.

[0038] Various base materials are useful in the friction material of the present invention, including, for example, non-eabeatos base materials comprising, for example, tabric materials, woven and/or nonwoven materials. Suitable base materials include, for example, fibers and suitable base materials include, for example, fibers and suitable base materials include, for example, fibers and sarbon fibers. The organic fibers can be eramid fibers, auch as fibrillated and/or nonfibrillated aramid fibers, acrylic fibers, polyester fibers, nylon fibers, polyests, acrylic fibers, polyester fibers and the like. The fillests can be, for example, silics, distomaceous earth, ers can be, for example, silics, distomaceous earth,

ties.

[0036] In still other embodiments, it is within the contemplates scope of the present invention that the regular geometrical shaped friction modifying particles can further include other friction modifying particles and the ments, a mixture of carbon particles and silica particles. To example, silica oxides, iron oxides, itanium oxides, iron oxide

the present invention more slowly since it is harder to seen that the fluid material penetrates the top layer of fast the material flows through the inction material, it is work to tillideermed biupil ent gainuseem va [16034] characteristics and good slip durability characteristics. on its surface. This provides good coefficient of Inction the present invention thus allows an oil film to remain capacity of the triction material. The friction material of lubricant on the surface and increases the oil retaining The top friction modifying material layer holds the fluid oll or fluid to initially penetrate into the inction material. film on the surface, thus making it more difficult for the shaped friction modifying particle layer keeps the oil flow In use, the layer of oll or fluid on the top, geometrically material since celite typically has a symmetrical shape. tain embodiments, celite is useful as a friction modifying

materials.

[0040] In certain embodiments, the friction material comprises a base material which has a plurality of volds or interstices therein. The size of the volds in the base material can range from about 0.5 μm to about 20 μm. [0041] In certain embodiments, the base material preferably has a void volume of about 50 to about 60% such that the base material is considered "dense" as compared to a "porous" woven material. In certain embodiments, the base material can be any suitable material such as a fibrous base material. The friction material further comprises a resin material which at least partially fills the volds in the base material. The resin material is substantially uniformly dispersed throughout the thickness of the base material.

[0042] In certain embodiments, the base material comprises a fibrous base material where less fibrillated fibers and carbon fibers are used in the fibrous base material to provide a desirable pore structure to the friction material. The fiber geometry not only provides increased thermal resistance, but also provides delamination resistance and squeal or noise resistance. Also, in certain embodiments, the presence of the carbon fibers and carbon particles aids in the fibrous base material in increasing the thermal resistance, maintaining a steady coefficient of friction and increasing the squeal resistance. A relatively low amount of cotton fibers in the fibrous base material can be included to improve the friction material's clutch "break-in" characteristics.

[0043] The use of less fibrillated aramid fibers and carbon fibers in a fibrous base material improves the friction material's ability to withstand high temperatures. Less fibrillated aramid fibers generally have few fibrils attached to a core fiber. The use of the less fibrillated aramid fibers provides a friction material having a more porous structure; i.e., there are larger pores than if a typical fibrillated aramid fiber is used. The porous structure is generally defined by the pore size and llquid permeability. In certain embodiments, the fibrous base material defines pores ranging in mean average size from about 2.0 to about 25 microns in diameter. In certain embodiments, the mean pore size ranges from about 2.5 to about 8 microns in diameter and the friction material had readily available air voids of at least about 50% and, In certain embodiments, at least about 60% or higher, an in certain embodiments up to and including about 85%.

[0044] Also, in certain embodiments, It is desired that the aramld fibers have a length ranging from about 0.5 to about 10 mm and a Canadian Standard Freeness (CSF) of greater than about 300. In certain embodiments, it is also desired to use less fibrillated aramid fibers which have a CSF of about 450 to about 550 preferably about 530 and greater; and, In other certain embodiments, about 580-650 and above and preferably about 650 and above. In contrast, more fibrillated fibers, such as aramid pulp, have a freeness of about 285-290. [0045] The "Canadian Standard Freeness" (T227 om-

85) means that the degree of fibrillation of fibers can be described as the measurement of freeness of the fibers. The CSF test is an empirical procedure which gives an arbitrary measure of the rate at which a suspension of three grams of fibers in one liter of water may be drained. Therefore, the less fibrillated aramid fibers have higher freeness or higher rate of drainage of fluid from the friction material than more fibrillated aramid fibers. Friction materials comprising the aramid fibers having a CSF ranging from about 430-650 (and in certain embodiments preferably about 580-640, or preferably about 620-640), provide superior friction performance and have better material properties than friction materials containing conventionally more fibrillated aramid fibers. The longer fiber length, together with the high Canadian

The longer fiber length, together with the high Canadian freeness, provides a friction material with high strength, high porosity and good wear resistance. The less fibrillated aramid fibers (CSF about 530-about 650) have especially good long-term durability and stable coefficients of friction.

[0046] Various fillers are also useful in the primary layer of the fibrous base material of the present invention. In particular, silica fillers, such as diatomaceous earth, are useful. However, it is contemplated that othertypes of fillers are suitable for use in the present invention and that the choice of filler depends on the particular requirements of the friction material.

[0047] In certain embodiments, cotton fiber is added to the fibrous base material of the present invention to give the fibrous material higher coefficients of friction. In certain embodiments, about 5 to about 20%, and, in certain embodiments, about 10% cotton can also be added to the fibrous base material.

[0048] One example of a formulation for the primary layer of a fibrous base material as described in the above incorporated by reference U.S. Patent No. 6,130,176, which comprises about 10 to about 50%, by weight, of a less fibrillated aramid fiber; about 10 to about 35%, by weight, of activated carbon particles; about 5 to about 20%, by weight, cotton fibers, about 2 to about 15%, by weight, carbon fibers; and, about 10 to about 35%, by weight of a filler material.

[0049] In certain other embodiments, one particular formulation has found to be useful comprises about 35 to about 45%, by weight, less fibrillated aramid fibers; about 10 to about 20%, by weight, activated carbon particles; about 5 to about 15% cotton fibers; about 2 to about 20%, by weight, carbon fibers; and, about 25 to about 35%, by weight, filler.

[0050] In certain embodiments, the base material comprises from about 15 to about 25% cotton, about 50% aramid fibers, about 20% carbon fibers, about 15% carbon particles, about 15% celite, and, optionally, about 3% latex addon.

[0051] In other embodiments, the base material comprises from about 15 to about 25% cotton, about 40 to about 50% aramid fibers, about 10 to about 20% carbon fibers, about 5 to about 15% carbon particles, about 5

the friction material such that any "shudder" is miniprovide a good "shift feel" and friction characteristics to vide the base material with a smooth friction surface and tion to the friction material. The silica particles also proterial. The silica particles provide high coefficients of fricorganic materials which bond strongly to the base mapecially useful. The silica particles are inexpensive inearth, Celite®, Celatom®, and/or silicon dioxide are esembodiments, silica particles such as diatomaceous mixtures of such friction modifying particles. In certain bon powders and/or particles and mixtures thereof; and Iments can include partial and/or fully carbonized carins epoxy resins and mixtures thereof. Still other embodas resin powders such as phenolic resins, silicone resembodiments can have friction modifying particles such friction modifying particles include silica particles. Other

tion modifying material since celite has an Irregular or mente, a silica material such as celite is useful as a fricshaped friction modifying particle. In certain embodiof many invaginations on the surface of the irregularly surface of the base material due to the capillary action ticles act to hold a desired quantity of lubricant at the ular shape. The irregular shaped thetion modifying parin the friction of the present invention can have an irregmaterials comprising the top layer of the inction material [0059] In certain embodiments, the triction modifying .besim

mente, a silicone resin blended or mixed with a phenolic combinations of the above, in certain other embodiepoxy resin, at least one modified epoxy resin, and/or In, at least one modified silicone resin, at least one modified phenolic-based resin, at least one silicone resuseful to use at least one phenolic resin, at least one different resin systems. In certain embodiments, it is [0000] The friction material can be impregnated using rough sufface.

tion material is adhered to a desired substrate by sultatemperature of about 400°F. Thereafter, the cured frica silicone resin, the heating cures the silicone resin at a When other resins are present in the saturant, such as Present in the saturant at a temperature of about 300°F. embodiments, the heating cures the phenolic resin mined length of time to form a friction material. In certain terial is heated to a desired temperature for a predeternated with the resin mixture, the impregnated base mabased material and the base material has been impregterial. After the resin mixture has been applied to the by weight, per 100 parts, by weight, of the iriction maeaturant material comprises about 45 to about 65 parts, phenolic or phenolic based resins, preferably so that the tion. In certain embodimenta, the reain can comprise -nevni fineeng ent in luter are useful in the present invenresin in compatible solvents is useful.

blend other modifying ingredients, such as epoxy, butavarious phenolic-based resins which include in the resin and phenolic-based resins. It is to be understood that [0062] Various useful resins include phenolic resins ple means.

> material. During operation of a transmission system, the fluid flow throughout the porous structure of the friction in a transmission due to better automatic transmission is more likely to run cooler or with less heat generated pore diameter and fluid permeability, the friction material [0052] When the base material has a higher mean

to about 15% celite, and, optionally, about 3% latex ad-

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the friction material. are more open pores remaining during the useful life of the triction material initially starts with larger pores, there posits" decrease the pore openings. Therefore, when posits", especially at high temperatures. These "oil defluid tends, over time, to breakdown and form "oll de-

resulting friction material. provides an improved three-dimensional structure to the lifying particles on the top surface of the base material characteristics and good slip durability. The friction modparticle layer. This also provides good stability of friction three-dimensional structure of the top friction modifying allow an oil film to remain on its surface due to the unique [0054] The triction material of the present invention stree dimensional structure to the base material. on the primary layer of the base material provides a de-[6053] The use of friction modifying particles as a top

thickness of about 60 to about 100 microns; and in certain embodiments, the top layer has a preferred average age thickness of about 35 to about 200 microns. In certhe top surface of the base material at a preferred aver-The triction modifying particles substantially remain on area of coverage ranges from about 90 to about 100%. surface area. In certain other embodiments, the average layer is in the range of about 80 to about 100% of the coverage of friction modifying particles forming the top [6055] In certain embodiments, the average area of

consequently, the heat dissipation and antishudder optimum three-dimensional structure not achleved and, modifying particle size is too large or too small, a desired embodiments, it has been discovered that if the friction average particle diameter of about 12 microns. In certain microns. In certain embodiments, the particles have an OS mode of 3.0 mode mort atnemibodme niether in bns range from about 0.15 to about 80 microns in diameter, terial is achieved by using a size of the particles that can tion modifying particles on the surface of the base ma-[0026] The uniformity of the deposited layer of the frictain embodiments, about 75 to about 85 microns.

useful in the inction material. In one embodiment, useful [8600] Various types of Iriction mod ifying particles are less porous than the lower layer (of the base material. ments, the top layer (of friction modifying particles) is between the individual particles. In certain embodiof the friction modifying material and voids or interstices dimensional structure comprised of individual particles that the layer of friction modifying particles has a three particles on the base material is sufficiently thick such [Nex7] The amount of coverage of friction modifying characteristics are not as optimum.

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diene, silicone, tung oil, benzene, cashew nut oil and the like, are contemplated as being useful with the present invention. In the phenolic-modified resins, the phenolic resin is generally present at about 50% or greater by weight (excluding any solvents present) of the resin blend. However, it has been found that friction materials, in certain embodiments, can be improved when the mixture includes resin blend containing about 5 to about 80%, by weight, and for certain purposes, about 15 to about 55%, and in certain embodiments about 15 to about 25%, by weight, of silicone resin based on the weight of the silicone-phenolic mixture (excluding solvents and other processing acids).

[0063] Examples of useful phenolic and phenolic-silicone resins useful in the present invention are fully disclosed in the above-referenced BorgWamer U.S. patents which are fully incorporated herein, by reference. Silicone resins useful in the present invention include, for example, thermal curing silicone sealants and silicone rubbers. Various silicone resins are useful with the present Invention. One resin, in particular, comprises xylene and acetylacetone (2,4-pentanedione). The silicone resin has a boiling point of about 362°F (183°C), vapor pressure at 68°F mm, Hg: 21, vapor density (air=1) of 4.8, negligible solubility in water, specific gravity of about 1.09, percent volatile, by weight, 5% evaporation rate (ether=1), less than 0.1, flash point about 149°F (65°C) using the Pensky-Martens method. It is to be understood that other sillcone resins can be utilized with the present invention. Other useful resin blends include, for example, a suitable phenolic resin comprises (% by wt.): about 55 to about 60% phenolic resin; about 20 to about 25% ethyl alcohol; about 10 to about 14% phenol; about 3 to about 4% methyl alcohol; about 0.3 to about 0.8% formaldehyde; and, about 10 to about 20% water. Another sultable phenolic-based resin comprises (% by wt.): about 50 to about 55% phenol/formaldehyde resin; about 0.5% formaldehyde; about 11 % phenol; about 30 to about 35% isopropanol; and, about 1 to about 5% water.

[0064] It has also been found that another useful resin is an epoxy modified phenolic resin which contains about 5 to about 25 percent, by weight, and preferably about 10 to about 15 percent, by weight, of an epoxy compound with the remainder (excluding solvents and other processing aids) phenolic resin. The epoxy-phenolic resin compound provides, in certain embodiments, higher heat resistance to the friction material than the phenolic resin alone.

[0065] In certain embodiments, it is preferred that resin mixture comprises desired amounts of the resin and the friction modifying particles such that the target pick up of resin by the base material ranges from about 25 to about 70%, in other embodiments, from about 40 to about 65%, and, in certain embodiments, about 60 to at least 65%, by weight, total silicone-phenolic resin. After the base material is saturated with the resin, the base material is cured for a period of time (in certain embod-

iments for about 1/2 hour) at temperatures ranging between 300-400°C to cure the resin binder and form the friction material. The final thickness of the friction material depends on the initial thickness of the base material. [0066] It further contemplated that other ingredients and processing aids known to be useful in both preparing resin blends and in preparing base materials can be included, and are within the contemplated scope of the present invention.

[0067] In certain embodiments, the resin mixture can comprise both the silicone resin and the phenolic resin which are present in solvents which are compatible to each other. These resins are mixed together (in preferred embodiments) to form a homogeneous blend and then used to saturate the base material. In certain embodiments, there is not the same effect if the base material is impregnated with a phenolic resin and then a silicone resin is added thereafter or vice versa. There is also a difference between a mixture of a silicone-phenolic resin solution, and emulsions of silicone resin powder and/or phenolic resin powder. When silicone resins and phenolic resins are in solution they are not cured at all. In contrast, the powder particles of silicone resins and phenolic resins are partially cured. The partial cure of the silicone resins and the phenolic resins inhibits a good saturation of the base material.

[0068] In certain embodiments of the present invention, the base material is impregnated with a blend of a silicone resin in a solvent which is compatible with the phenolic resin and its solvent. In one embodiment, isopropanol has been found to be an especially suitable solvent. It is to be understood, however, that various other suitable solvents, such as ethanol, methyl-ethyl ketone, butanol, isopropanol, toluene and the like, can be utilized in the practice of this invention. The presence of a silicone resin, when blended with a phenolic resin and used to saturate the base material, causes the resulting friction materials to be more elastic than base materials impregnated only with a phenolic resin. When pressures are applied to the silicone-phenolic resin blended impregnated friction material of the present invention, there is a more even distribution of pressure, which, in turn, reduces the likelihood of uneven lining wear. After the slilcone resin and phenolic resin are mixed together with the friction modifying particles, the mixture is used to impregnate the base material.

[0069] The friction material of the present invention includes a layer of friction modifying particles on a top surface of a base material provides a friction material with good anti-shudder characteristics, high resistance, high coefficient of friction, high durability, good wear resistance and improved break-in characteristics.

[0070] Fig. 1 shows a schematic diagram of a friction material 10 having a base material 12 and a layer of regular geometrical shaped surface friction modifying materials 14 substantially covering the base material 12.

[0071] The layer of friction modifying materials used in the friction material of the present invention provides

the friction material with good anti-shudder characteristics. In the embodiment shown, the high temperature synthetic fibers and porosity of the base material provides improved heat resistance. Example 1, shown in Fig. 2, is a friction material of the present invention which shows a layer of the friction modifying particles on a top surface of the base material. The round disk cellte layer provides improved oil retention and surface oil flow.

[0072] The following examples provide further evidence that the gradient of friction modifying particles within the friction material of the present invention provides an improvement over conventional friction materials. The friction materials have desirable coefficient of friction, heat resistance and durability characteristics. Various preferred embodiments of the invention are described in the following examples, which however, are not intended to limit the scope of the invention.

### **Examples**

### Example I

[0073] A slip durability test was conducted. Fig. 3 shows the slope versus slipping time for Ex. 1; Compar A. which contains about 20 to about 40% cellte and about 1 to 10%, based on the basis weight of the paper, of celite as a top, or secondary layer; and Compar. C which comprises a single layer material of about 40 to about 60% celite and 40 to about 60 % organic fibers. In contrast, the Ex. 1 of the present invention has a more effective deposit of the friction modifying particles on the surface of the base material. Only a negligible amount of the friction mod ifying particles on the top surface of the Ex. 1 friction material penetrate in the base, or primary, layer. The symmetrical shaped friction modifying particles stack or pile up on the base material to form a good layer of a "mountain and valley" type of three-dimensional structure. This three-dimensional structure creates positive friction behavior for the friction material of the present invention, including good oil flow or lubrication, good positive  $\mu$ -v slopes, and good durability. While not wishing to be bound by theory, it is believed that the new material has a better three-dimensional structure and better characteristics since the symmetrical shaped friction modifying particles stay on the friction surface, the supporting fibers and filler remain in the base material.

[0074] Fig. 3 shows slope versus slipping time graphs for Compar. C, Compar. A and Ex. 1. The slope versus slipping time for the grooved material shows that the Ex. 1 has a longer life. The slope ( $\mu$ -speed) of -1 x 10<sup>-5</sup> is acceptable in the Industry. Any product having a slope below level that does not have the desired coefficient of friction characteristics. The Ex. 1 material allows the oil flow to be within the desired conditions and allows for good dissipation of heat.

### Example II

[0075] Figs. 4a and 4b show the results of an "E" clutch bench test were conducted for Compar. A and Ex. 1 for low oil flow. Both examples have improved antishudder characteristics and that both are improved materials with non-squawk.

[0076] Figs. 4a and 4b show ascending or rooster tailed torque curve for the Compar. C versus a descending torque curves for the Ex. 1. The Compar. C curves have negative  $\mu$ -v slope in the whole speed range while the Ex. 1 curves have positive  $\mu$ -v slopes in the whole speed range. The positive  $\mu$ -v slopes are preferred and necessary for a smooth clutch operation (engagement or slipping).

[0077] The condition shown in Fig. 4 is very special for a shifting clutch. This clutch connects to a long shaft and operates in a low lubrication situation. The operating condition is severe (high energy, low lubrication engagement) and is sensitive to vibration (due to the long shaft connection). Any ascending torque curve for this clutch results in noise (squawk) and vibration, such as shown in Fig. 4a. The vehicle, or Dyno, test can easily reveal the torque vibration for this condition. The Ex. 1 of the present invention shows how these obstacles are overcome, as shown by Fig. 4b.

### Example III and Example IV

[0078] The Figures 5a-5b show the thickness of the deposit layer as being about 80  $\mu m$ . The distinct layer of the deposit particles is also shown. For 80 μm per layer cut, the front and back view of the top layer show yellow/brown color, that is exactly the deposit layer color. The front view of the second layer in this Figure (5a - 5b) reveals the green color of the base material. By combining the top and second layer images in the front and back view, it is clearly shown that the deposit layer thickness is about 80 µm (the thickness of the cut). [0079] In Figs. 6a-6b, the top layer shows yellow/ brown color (which is always the case since this is the friction surface composed of Celite particles and resin), while the back view shows green color (this is the color of the base material). The different color on front view and back view shows that the 100µm (per layer cut) is more than the thickness of the deposit layer.

[0080] These two sets of Figs (5a-b and 6a-b) demonstrate the deposit layer thickness to be about 80  $\mu m$ . The revealed color contrast between deposit layer and base material is more evidence of the existence of deposit layer.

### Example V

[0081] The deposit of the friction modifying particle creates a dense surface layer which reduces permeability of the top layer. In certain embodiments, the friction material of the present invention has a permeability that

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is lower in the normal direction (i.e., direction perpendicular to the plane defined by the top layer, than the normal permeability of the first or base material layer. [0082] The lower normal fluid permeability of the top layer together with the micro hard solld regular mountain-valley type surface topography cause the oil to remain on the friction surface and create channels of oil flow across the friction surface. An effective surface cooling mechanism and constant surface lubrication is then achieved. This unique feature from the unique surface structure makes the friction material of the present invention very durable in slipping clutch applications. In addition, the symmetric round shape of the modifying particles provides a much less abrasive wear than the other irregular shapes of particles.

### INDUSTRIAL APPLICABILITY

[0083] The present invention is useful as a high energy friction material for use with clutch plates, transmission bands, brake shoes, synchronizer rings, friction discs, or system plates.

[0084] The above descriptions of the preferred and alternative embodiments of the present invention are intended to be illustrative and are not intended to be illustrative and content of the following claims.

### Claims

- 1. A friction material comprising a first layer comprising a base material and at least one type of resin material, and a second layer comprising at least one type of friction modifying particle on a top surface of the base material, the friction modifying particles having at least one type of substantially symmetrical geometric shape, the second layer having an average thickness of about 30 to about 200 microns, wherein the top layer has a fluid permeability lower than the first layer.
- The friction material of claim 1, wherein the layer of the friction modifying particles has a thickness of about 60 to about 100 microns.
- 3. The friction material of claim 1 or 2, wherein the top layer has a lower permeability in the radial direction and a lower permeability in the normal direction that the first layer.
- The friction material of claim 1, 2 or 3, wherein the friction modifying particles have an average diameter size from about 0.1 to about 80 microns.
- 5. The friction material of claim 1, 2 or 3, wherein the friction modifying particles have an average diameter size from about 0.5 to about 20 microns.

- The friction material of any one of claims 1 to 5, wherein the base material has an average voids volume from about 50 to about 85%.
- The friction material of any one of claims 1 to 6, wherein the friction modifying particles comprise sillca particles.
- 8. The friction material of any one of claims 1 to 6, wherein the friction modifying particles comprise celite particles.
  - The friction material of any one of claims 1 to 7, wherein the friction modifying particles comprise diatomaceous earth.
  - The friction material of claim 1, wherein the friction modifying particles comprise a mixture of carbon particles and silica particles.
  - 11. The friction material of claim 8, wherein the celite has a generally flat or disc shape.
- 12. The friction material of claim 8 or 11, wherein the particles of celite have a size ranging from about 2 to about 20 microns.
  - 13. The friction material of any one of claims 1 to 12, wherein the friction modifying particles comprise metal oxides.
  - 14. The friction material of any one of claims 1 to 12, wherein the friction modifying particles comprise nitrides.
  - 15. The friction material of any one of claims 1 to 12, wherein the friction modifying particles comprise carbides.
- 40 16. The friction material of any one of claims 1 to 15, wherein the base material comprises a fibrous base material.
- 17. The friction material of any one of claims 1 to 15, wherein the base material is a nonwoven fibrous material.
  - 18. The friction material of any one of claims 1 to 15, wherein the base material is a woven fibrous material.
- 19. The friction material of any one of claims 1 to 15, wherein the base material comprises from about 15 to about 25% cotton, about 40 to about 50% aramid fiber, about 10 to about 20% carbon fibers, about 5 to about 15% carbon particles, and, about 5 to about 15% celite.

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- 20. The friction material of claim 19, wherein the top layer of the friction material comprises silica friction modifying particles deposited on fibers and fillers in the fibrous base material.
- 21. The friction material of any one of claims 16 to 20, wherein the fibrous base material has an average pore diameter of about 5 to about 10 microns.
- 22. The friction material of any one of claims 1 to 15, wherein the base material comprises about 10 to about 50%, by weight, of a less fibrillated aramid fiber; about 10 to about 35%, by weight, of activated carbon particles; about 5 to about 20%, by weight, cotton fibers, about 2 to about 15%, by weight, carbon fibers; and, about 10 to about 35%, by weight of a filler material.
- 23. The friction material of any one of claims 1 to 22, wherein the resin comprises at least one phenolic resin, at least one modified phenolic resin, at least one silicon resin, at least one silicone modified resin, at least one epoxy resin, at least one epoxy modified resin, and mixtures of the above.
- 24. The friction material of any one of claims 1 to 23, wherein the resin comprises a mixture of at least one phenolic resin and at least one silicone resin wherein the amount of silicone resin in the resin mixture ranges from approximately 5 to approximately 80%, by weight, based on the weight of the resin mixture.
- 25. The friction material of claim 23, wherein the phenolic resin is present in a solvent material and the silicone resin is present in a solvent material which is compatible with the solvent material of the phenolic resin.
- 26. The friction material of claim 23 or 24, wherein the amount of silicone resin present in the silicone-phenolic resin mixture ranges from about 20 to about 25%, by weight, based on the weight of the mixture.
- 27. The friction material of claim 23 or 24, wherein the amount of silicone resin present in the silicone phenolic resin mixture ranges from about 15 to about 25%, by weight, based on the weight of the mixture.
- 28. The friction material of claim 23, wherein the modified phenolic resin comprises at least one epoxy phenolic resin.
- 29. The friction material of claim 23 or 28, wherein the amount of epoxy resin present in the epoxy phenolic resin ranges from about 5 to about 25%, by weight, based on the weight of the epoxy phenolic resin.

30. The friction material of claim 23 or 28, wherein the amount of epoxy resin present in the epoxy phenolic resin ranges from about 10 to about 15%, by weight, based on the weight of the epoxy phenolic resin.

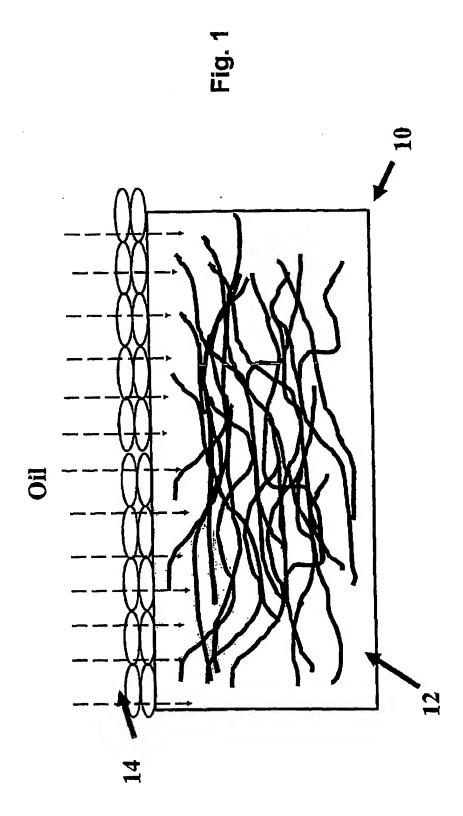
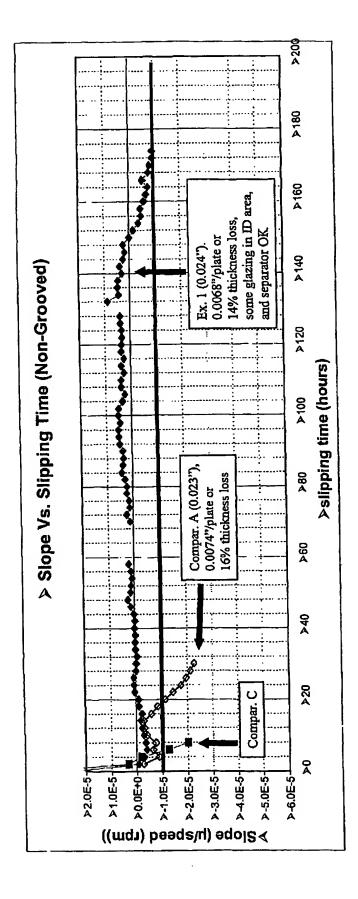
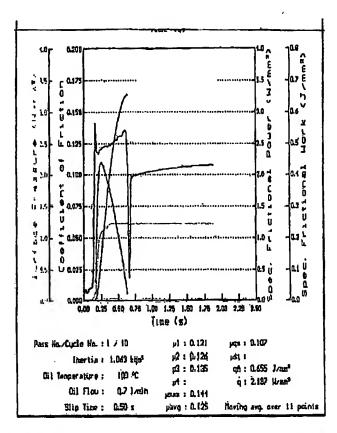


Fig. 2

Fig. 3





# Fig 4a

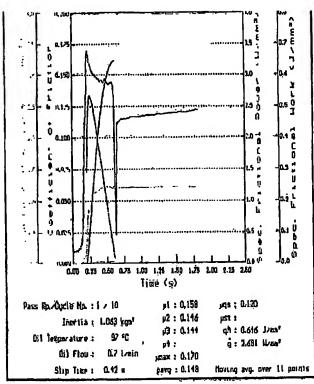
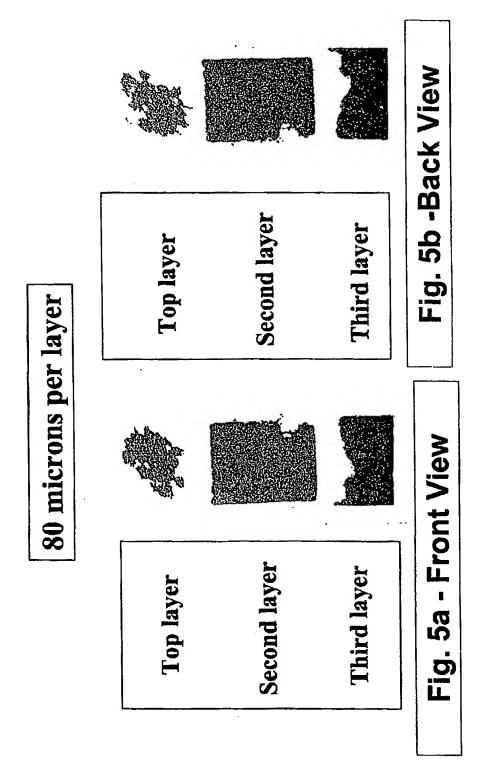
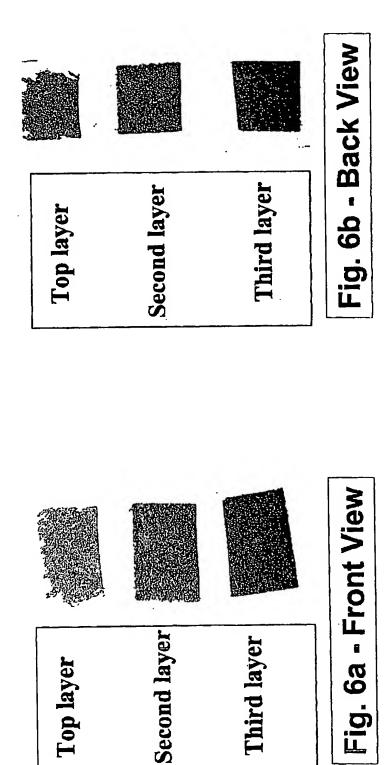


Fig 4b



# 100 microns per layer





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